



Rhode Island Ethics Commission

2014 Yearly Financial Statement

For BRETT SMILEY

All questions refer to the calendar year January 1, 2014 through December 31, 2014 unless otherwise specified.

Personal Information

| Name | Mailing Address |
|--------------|--|
| BRETT SMILEY | CHIEF OPERATING OFFICER, CITY HALL, 25 DORRANCE STREET, PROVIDENCE, RI 02903 |

Current Positions

| Public Position(s) | Municipality, State or Regional | Date elected, appointed or hired | Date of termination or resignation |
|--------------------|---------------------------------|----------------------------------|------------------------------------|
| VOLUNTARY FILING | PROVIDENCE | 12-29-2014 | |

Description of Voluntary Position(s):

| Description of Voluntary Position(s): | Filed On |
|---|-----------------------|
| Chief Operating Officer, City of Providence | 01/13/2016 at 01:33PM |

Elected Office Candidacies

Not Applicable.

Family Members

List name of spouse if you were married or were a party to a civil union during any part of 2014.

| Spouse Name | Filed On |
|-------------------|-----------------------|
| James V. DeRentis | 01/13/2016 at 01:33PM |

Family Income Sources

Add a response for each instance in which you, your spouse or dependent child received either \$1,000 or more gross income from an employer during 2014; or \$1,000 or more gross income through self-employment. This includes instances of receiving income through public employment or from service as an elected or appointed official.

In your response you must also provide information regarding any instances in which you, your spouse or dependent child were self-employed and received \$250 or more gross income for services rendered to a state or municipal agency.

| Family Member Name | Self Employed ? | Business or Employer Name | Title/Occupation and Date of Employment | Address of Business | Services Rendered ? | Date & Nature of Services | Filed On |
|--------------------|-----------------|---------------------------|---|--|---------------------|---------------------------|-----------------------|
| Self | No | CFO Compliance | Partner, Nov 2011 | 1 Park Row, 5th Fl, Providence, RI 02903 | No | - | 01/13/2016 at 01:33PM |
| Self | No | CFO Consulting Group | President / August 2007 | 1 Park Row, 5th Fl, Providence, RI 02903 | No | - | 01/13/2016 at 01:33PM |
| James DeRentis | No | Residential Properties | Realtor | 140 Wickenden St, Providence, RI 02903 | No | - | 01/13/2016 at 01:33PM |

Real Estate

Add a response for each instance in which you, your spouse or dependent child owned or had a financial interest in any real estate, wherever located, but other than real estate that is used exclusively as your principal residence, at any time during 2014.

| Family Member Name | Nature of Interest | Address OR Description | Filed On |
|--------------------|--------------------|---|-----------------------|
| Self | Co-Owner | 236 Hope St, Providence, RI 02906 | 01/13/2016 at 01:33PM |
| Self | Co-Owner | 89 Angell St, Providence, RI 02906, USA | 01/13/2016 at 01:33PM |

Trust Incomes

Add a response if you, your spouse or dependent child received more than \$1,000 in gross income as a beneficiary of any trust. (Do not list amounts.)

Not Applicable.

Family Executive Positions

Add a response if you, your spouse or dependent child held a management position or were a director, officer, partner or trustee of any business, organization or other entity (whether for-profit or non-profit).

| Family Member Name | Name of Business or Organization | Position | Address of Business | Filed On |
|--------------------|------------------------------------|-------------|--|-----------------------|
| Self | CFO Consulting Group LLC | President | 1 Park Row, 5th Fl, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| Self | CFO Compliance LLC | Partner | 1 Park Row, 5th Fl, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| Self | Strategic Campaign Management, Inc | President | 1 Park Row, 5th Fl, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| Self | Progressive Field Strategies | President | 3500 S DuPont Highway, Dover, DE 19901 | 01/13/2016 at 01:33PM |
| James DeRentis | Rhode Island Housing | Board Chair | 44 Washington St, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| James DeRentis | Providence Redevelopment Authority | Board Chair | 444 Westminster St, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| James DeRentis | Providence Real Estate Guy LLC | President | 89 Angell St, Providence, RI 02906 | 01/13/2016 at 01:33PM |

Out-of-State Travel

Add a response if during the filing year any person or entity provided you with out-of-state travel valued at over \$250, AND you would not have been provided with such travel but for the fact that you held a public office or position. Your response will include disclosure of the source, value and description of the travel and related expenses.

Out-of-state travel includes all related expenses such as transportation, lodging, meals and entertainment. All of these expenses are considered together when determining whether the \$250 limit has been reached.

EXCEPTIONS: You do NOT have to disclose out-of-state travel that is provided to you either by your regular private employer OR by the state or municipal agency of which you are a member or by which you are employed.

Not Applicable.

Last Year Business Ownership Interests

Add a response if at any point during 2014 you, your spouse or dependent child individually or collectively held a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest in any business (including holding publicly traded stock in a company).

If you add a response to this question, you will also be required to provide additional information if the business you list either (a) was subject to direct regulation by a state or municipal agency or; (b) did business in excess of a total of \$250 with a state or municipal agency.

Did the business listed above do business in excess of a total of \$250 in a calendar year 2014 with a state or municipal agency? If yes, enter the name of agency, the date of transaction and the nature of the transaction.

Was the business listed above a business entity subject to direct regulation by a state or municipal agency?

| Family Member Name | Name of Business | Address of Business | Agency Name, Transaction Nature and Date | Regulating Agency Name | Filed On |
|--------------------|------------------|----------------------------------|--|------------------------|-----------------------|
| Self | CFO Compliance | 1 Park Row, Providence, RI 02903 | - | - | 01/13/2016 at 01:33PM |

| Family Member Name | Name of Business | Address of Business | Agency Name, Transaction Nature and Date | Regulating Agency Name | Filed On |
|--------------------|---------------------------------|-------------------------------------|--|------------------------|-----------------------|
| Self | CFO Consulting Group LLC | 1 Park Row, Providence, RI 02903 | - | - | 01/13/2016 at 01:33PM |
| Self | Progressive Field Strategies | 3500 S DuPont Hgwy, Dover, DE 19901 | - | - | 01/13/2016 at 01:33PM |
| James DeRentis | Providence Real Estate Guy, LLC | 89 Angell St, Providence, RI 02906 | - | - | 01/13/2016 at 01:33PM |
| Self | Strategic Campaign Management | 1 Park Row, Providence, RI 02903 | - | - | 01/13/2016 at 01:33PM |

This Year Business Ownership Interests - Regulation

This question relates to business interests that were acquired or divested AFTER calendar year 2014, that are regulated by a public agency. Add a response if any business in which you, your spouse or dependent child individually or collective acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest (including holdings of publicly traded stocks) after January 1, 2015 but prior to filing this statement, IF said business was subject to direct regulation by a state or municipal agency.

Not Applicable.

This Year Business Ownership Interests - Business

This question relates to business interests that were acquired or divested AFTER calendar year 2014, that did business with a public agency. Add a response if any business in which you, your spouse or dependent child individually or collective acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest (including holdings of publicly traded stocks) after January 1, 2015 but prior to filing this statement, IF said business had one or more business transactions with a state or municipal agency that, collectively, exceeded \$250.

Not Applicable.

Family Debts

Add a response if you, your spouse, or dependent child were indebted in an amount in excess of \$1,000 to any person, business entity, financial institution or other organization, other than:

- (1) Any person related to you, your spouse or dependent child at any time within the third degree of consanguinity;
- (2) A financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence;
- (3) Any indebtedness arising from transactions involving credit cards

| Debtor Name | Debtor Address | Lender Name | Lender Address | Filed On |
|-------------|-----------------------------------|-------------------------|---|-----------------------|
| Self | 37 George St, Providene, RI 02906 | Coastway Community Bank | 180 Washington St, Providence, RI 02903 | 01/13/2016 at 01:33PM |
| Self | 37 George St, Providene, RI 02906 | BankRI | 1 Turks Head Pl, Providence, RI 02903 | 01/13/2016 at 01:33PM |

Originally filed online by BRETT SMILEY on 01/13/2016 at 01:33PM, under the pains and penalties of perjury.